# Minnesota Uniform Credentialing Application **Initial**

## Physician/Dentist/Allied Health Professional

| Applicant Name:              | Last First   | Middle                          | Suffix               | Title                |
|------------------------------|--|---------------------------------|----------------------|----------------------|
| Name                         | CONTACT INFORMATION  | Phone Number (Fax Number (      | •                    |                      |
|                              | This Box to be completed by Allied He  | ealth Professionals Only        |                      |                      |
|                              | Profession/TitleSponsoring/Collaborative Physician   | (If applicable)                 |                      |                      |
| needed than provide          | ling application and attachments should be typed, legibly pringed on the application, please attach additional sheets and recompleting the application. Please mark all non-applicable   | ference the question being a    |                      |                      |
|                              | omplete) e following documents must be submitted with this application vard application and send those documents as soon as poss   |                                 | ∃A and/or malpra     | ectice insurance are |
| ☐ Drug Enforce               | ement Administration Registration with correct address (if ap  | oplicable)                      |                      |                      |
| ☐ Malpractice L              | Litigation and Professional Complaints Form (if applicable)  |                                 |                      |                      |
| ☐ Malpractice li             | iability insurance documentation (as defined on page 8)  |                                 |                      |                      |
| ☐ Curriculum V               | itae (all application items must be completed)   |                                 |                      |                      |
| ☐ If not a U.S. o            | citizen, copy of official document(s) indicating authorization t   | to work in the United States    |                      |                      |
| ☐ Allied Health              | Professionals: License/registration and/or certification (if approximately approximate | pplicable)                      |                      |                      |
| In addition, please ve       | erify that you have:   |                                 |                      |                      |
| ☐ Provided com<br>references | nplete street addresses wherever indicated, including educa  | ation/training, past employme   | ent, hospital affili | ations and           |
| ☐ Designated d               | dates by month and year time frames  |                                 |                      |                      |
| ☐ Provided all p             | phone and fax numbers, including education/training, past en   | mployment, hospital affiliation | ons, and reference   | es                   |
| ☐ Explained all              | gaps of greater than three months in chronology (Page 6)   |                                 |                      |                      |
| ☐ Answered all               | of the Disclosure Questions on Pages 10 and 11 and enclo   | osed explanations for affirma   | ıtive answers        |                      |
| ☐ Signed and d               | dated the Attestation Signature and Date statement (Page 1   | 1)                              |                      |                      |
| ☐ Signed and d               | dated the Authorization and Release (Page 13)  |                                 |                      |                      |

All Information Must Be Printed in Black Ink, Typed or Electronically Generated

### **Personal Data** Name: First Middle Suffix Title Last \_\_\_\_\_Spouse Name (optional): \_\_\_\_\_ Maiden/Former/Other Name(s): Marital Status (optional): ☐ Married ☐ Single ☐ Divorced ☐ Widowed Gender: Male Female Date of Birth: / / Birthplace (city/state/country): U.S. Citizen: ☐Yes ☐No Social Security Number: - - UPIN: NPI: State \_\_\_\_\_ Medicare Number: \_\_\_\_\_ \_\_\_\_\_ State \_\_\_\_ Current Home Address: City/State/Country Local Home Address (if different from above): Street City/State/Country Zip Code Preferred Mailing Address: Office Home Practitioner's Preferred E-mail address: Pager Number: ( ) -Home Phone Number: (\_\_\_\_) -Do you speak a language other than English with sufficient fluency to treat patients who speak only that language? ☐ Yes ☐ No If yes, specify languages: \_\_\_ **Primary or Pending Practice Location** Primary Practice Location/Clinic Name: Address: \_\_\_\_\_ City/State/Country Zip Code Office Phone Number: (\_\_\_\_) -Federal Tax ID Number: E-mail Address: Start Date: / / Do you intend to practice as: Primary Care ☐ Specialist ☐ Urgent Care ☐ Locum Tenens ☐ Moonlighting Resident ☐ Hospitalist Primary Specialty: \_\_\_ Subspecialty: Specialty/Subspecialty in which care will be provided: Provide a narrative description of your clinical practice including special interests (if additional space is required, attach a separate sheet):

# Billing Information Billing Name: \_\_\_\_\_ Contact Person: \_\_\_\_\_\_

Fax Number: (\_\_\_) \_-

Office Phone Number: ( ) X14894 (September 2001; Revised April 2002; Revised June 2005; January 2007)

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### Additional Practice Location(s) Phone Number: ( ) -1. Other Practice Name: Address: Street City/State/Country Zip Code Fax Number: ( ) -E-mail Address: Federal Tax ID Number (if different from primary): Phone Number: ( ) -Credentialing Contact: \_\_\_ Start Date: / / If yes, will you continue to practice at this location? Yes No If no, last date of employment: / / Specialty/Subspecialty in which care will be provided: \_ 2. Other Practice Name: \_\_\_\_ Phone Number: ( ) -City/State/Country Address: Zip Code Fax Number: ( ) -E-mail Address: Federal Tax ID Number (if different from primary): Phone Number: ( ) -Credentialing Contact: Specialty/Subspecialty in which care will be provided: 3. Other Practice Name: Phone Number: ( ) -Address: Street City/State/Country Zip Code E-mail Address: \_\_\_ Fax Number: ( ) -Federal Tax ID Number (if different from primary): \_\_\_\_\_ Credentialing Contact: Phone Number: ( ) -Currently practicing at this location? Yes No Start Date: / / Specialty/Subspecialty in which care will be provided: \_\_\_\_ Phone Number: ( ) -4. Other Practice Name: Address: \_\_\_\_\_ City/State/Country Zip Code Fax Number: ( ) -E-mail Address: Federal Tax ID Number (if different from primary): Phone Number: ( ) -Credentialing Contact:

Start Date: / /

Specialty/Subspecialty in which care will be provided: \_\_\_

### Medical/Graduate/Professional Education

|   | equired)   |  |                   |  |  |  |
|---|--|--|-------------------|--|--|--|
| From//  | Institution Name:  |  |                   |  |  |  |
| To//  | Degree Received: MD DO DO  | DS DC DPM PhD Other:   |                   |  |  |  |
|   | Address:Street   | City/State/Country   | Zip Code          |  |  |  |
|   |  | Fax Number: (  | •                 |  |  |  |
|   | There is an early  |  |                   |  |  |  |
| From//  | Institution Name:  |  |                   |  |  |  |
| To//  | Degree Received: MD DO DI  | DS DC DPM PhD Other:   |                   |  |  |  |
|   | Address:Street   | City/State/Country   | Zip Code          |  |  |  |
|   |  | Fax Number: ( ) -  | ·                 |  |  |  |
|   |  |  |                   |  |  |  |
| ECFMG - Applicab                                    | ole to International Medical Gradua  | tes  |                   |  |  |  |
| ECFMG Number:                                       | Date Issu  | red: _/ Valid Throu  | ıgh: /            |  |  |  |
|   |  | (mo/yr)  | (mo/yr)           |  |  |  |
| Internship/Post-G                                   | raduate/Professional Training (If app  | licable)   |                   |  |  |  |
| (Month, day and year r                              | required)  |  |                   |  |  |  |
| From//  | Institution Name:  |  |                   |  |  |  |
| To//  | Type of Program/Specialty (transitional, rotating, 5th pathway, etc.):   |  |                   |  |  |  |
|   | Completed Training: Yes No   | If no, expected completion date:   |                   |  |  |  |
|   | If not successfully completed, explain: _  |  |                   |  |  |  |
|   | Program Director:  |  |                   |  |  |  |
|   |  |  |                   |  |  |  |
|   | Address:   |  |                   |  |  |  |
|   | Address:Street   |  | Zip Code          |  |  |  |
|   | Address:Street   |  | Zip Code          |  |  |  |
| Residency/Post-G                                    | Address:Street Phone Number: ()  | City/State/Country   | Zip Code          |  |  |  |
|   | Address:Street  Phone Number: ()   | City/State/Country  Fax Number: (  | Zip Code          |  |  |  |
| (Month, day and year r                              | Address:Street  Phone Number: ()  raduate/Professional Training (If addrequired)   | City/State/Country  Fax Number: (  | Zip Code<br>eet.) |  |  |  |
| (Month, day and year r                              | Address:Street  Phone Number: ()  raduate/Professional Training (If addrequired)  Institution Name:  | City/State/Country Fax Number: () ditional space is required, attach a separate sh   | Zip Code<br>eet.) |  |  |  |
| (Month, day and year r                              | Address:Street  Phone Number: ()  raduate/Professional Training (If addreguired)  Institution Name:  Type of Program/Specialty (transition | City/State/Country Fax Number: () - ditional space is required, attach a separate sh   | Zip Code          |  |  |  |
| (Month, day and year r                              | Address:   | City/State/Country  Fax Number: () -  ditional space is required, attach a separate sh  nal, rotating, 5th pathway, etc.):                                   | Zip Code          |  |  |  |
| (Month, day and year r                              | Address:   | City/State/Country  Fax Number: () -  ditional space is required, attach a separate sh  nal, rotating, 5th pathway, etc.):  If no, expected completion date: | Zip Code          |  |  |  |
| Residency/Post-G (Month, day and year r From/_/ To/ | Address:   | City/State/Country  Fax Number: (  | Zip Code eet.)    |  |  |  |

### Residency/Post-Graduate/Professional Training - continued

| (Month, day and year           | required)  |  |          |  |  |  |  |
|--------------------------------|--|--|----------|--|--|--|--|
| From//                         | Institution Name:  |  |          |  |  |  |  |
| To/                            | Type of Program/Specialty (transitional, rotating, 5th pathway, etc.): |  |          |  |  |  |  |
|                                | Completed Training: Yes No   | If no, expected completion date:                 |          |  |  |  |  |
|                                | If not successfully completed, explain:                                |  |          |  |  |  |  |
|                                | Program Director:  |  |          |  |  |  |  |
|                                |  | City/State/Country                               |          |  |  |  |  |
|                                |  | Fax Number: ( ) -                                |          |  |  |  |  |
|                                |  |  |          |  |  |  |  |
| Fellowship/Post-               | Graduate/Professional Training (If addit                               | ional space is required, attach a separate sheet | t.)      |  |  |  |  |
| (Month, day and year           | required)  |  |          |  |  |  |  |
| From//                         | Institution Name:  |  |          |  |  |  |  |
| To//                           | Type of Program/Specialty (transitional                                | l, rotating, 5th pathway, etc.):                 |          |  |  |  |  |
|                                | Completed Training:   Yes No If no, expected completion date:          |  |          |  |  |  |  |
|                                | If not successfully completed, explain:                                |  |          |  |  |  |  |
|                                | Program Director:  |  |          |  |  |  |  |
|                                | Address:Street   | City/State/Country                               | Zip Code |  |  |  |  |
|                                |  | Fax Number: ( ) -                                | ·        |  |  |  |  |
| Professional and               | I Academic/Faculty Affiliations  |  |          |  |  |  |  |
| (Month, day and year<br>From// |  |  |          |  |  |  |  |
| To//                           | Appointment Held/Position:   |  |          |  |  |  |  |
|                                | Address:   |  |          |  |  |  |  |
|                                | Street   | City/State/Country                               | Zip Code |  |  |  |  |
| <b>F</b>                       | Phone Number: ( ) -  | <u> </u>   |          |  |  |  |  |
| From/_/                        |  |  |          |  |  |  |  |
| To//                           |  |  |          |  |  |  |  |
|                                | Address:Street   | City/State/Country                               | Zip Code |  |  |  |  |
|                                | Phone Number: ( ) -  | Fax Number: ()                                   | -        |  |  |  |  |
| From//                         | Institution Name:  |  |          |  |  |  |  |
| To//                           | Appointment Held/Position:   |  |          |  |  |  |  |
|                                | Address:   |  |          |  |  |  |  |
|                                | Street  Phone Number: ( ) -  | City/State/Country                               | Zip Code |  |  |  |  |
|                                | Phone Milmher: ( ) -   | Fay Number: ( )                                  | -        |  |  |  |  |

**Chronological Employment/Practice History (include Military Service)** (Additional space is provided on the Chronological Employment/Practice History Addendum, page 16. You may make extra copies of page 16 or attach a separate sheet for additional employments.)

Chronological listing [month/year] of employment/practice history since completion of your post-graduate training. List all experience, including military service and public health, time out of medical practice in pursuit of other business or professional activities, sabbaticals,

parenting, personal travel, personal crisis, etc. LEAVE NO GAPS IN CHRONOLOGY. (Month, day and year required) From \_\_/\_\_/\_\_\_ Organization Name/Activity: Reason for Leaving: \_\_\_\_ Clinic Still Open? If no, attach sheet listing address Employment Contact Name: and phone number of someone who can verify your time there. ☐ Yes ☐ No Address: \_\_ City/State/Country Phone Number: ( ) - Fax Number: ( ) -From \_\_/\_\_/\_\_\_ Organization Name/Activity: \_\_\_\_\_ Reason for Leaving: Employment Contact Name: \_\_\_\_\_ Clinic Still Open? If no, attach sheet listing address and phone number of someone ☐ Yes ☐ No who can verify your time there. Address: \_ City/State/Country Phone Number: ( ) - Fax Number: ( ) -From \_\_/\_\_/\_\_\_ Organization Name/Activity: Reason for Leaving: If no, attach sheet listing address Employment Contact Name: Clinic Still Open? and phone number of someone who can verify your time there. ☐ Yes ☐ No Address: \_ City/State/Country Zip Code Street Phone Number: ( ) - Fax Number: ( ) -From \_\_/\_\_/\_\_\_ Organization Name/Activity: Reason for Leaving: If no, attach sheet listing address Employment Contact Name: Clinic Still Open? and phone number of someone ☐ Yes ☐ No who can verify your time there. Address: \_ City/State/Country Zip Code Phone Number: ( ) -Fax Number: ( ) -Check here if you have addition employment history on attached Chronological Employment/Practice History Addendum (page 16) Explain time gaps/interruptions of greater than three (3) months in medical/professional practice (additional space is provided on the Chronological Employment/Practice History Addendum, page 16) From \_\_/\_\_/\_\_\_ Explain: From \_\_/\_\_/\_\_\_ Explain: Check here if you have additional time gap information on the attached Chronological Employment/Practice History Addendum, page 16

### Primary Hospital Affiliation (pertinent to Primary or Pending Practice Location listed on page 2)

| (Month, day and year requi | ired)  |  |                                |
|----------------------------|--|--|--------------------------------|
| From//                     | Facility Name:                                 |  |                                |
| To/                        | Type/category of privilege/affiliation (activ  | /e, courtesy, etc.):   |                                |
| Admitting Privileges:      | Department Name:                               |  |                                |
| Yes No                     | Department Chairperson:                        |  |                                |
| Application Pending        | AddressStreet                                  | A  |                                |
|                            |  | City/State/Country  Fax Number: () -   | Zip Code                       |
|                            | ntions - Present and past affiliations be      | ginning with most recent. (Additional space 7 or attach a separate sheet for additional af | e is provided on the Hospital  |
| (Month and year required)  |  |  | If hospital changed name, list |
| From//                     | Facility Name:                                 |  | current name and address       |
| To//                       | Type/category of privilege/affiliation (activ  | ve, courtesy, etc.):   |                                |
| Admitting Privileges:      | Department Name:                               |  |                                |
| Yes No                     | Department Chairperson:                        |  |                                |
| Application Pending        | AddressStreet                                  | 0), 9), 1, 10  | 7.0.1                          |
|                            |  | City/State/Country Fax Number: () -  | Zip Code                       |
|                            | Thomas rambon.                                 | rax rambon. <u>\</u>   | If hospital changed name, list |
| From//                     | Facility Name:                                 |  | autront name and address       |
| To//                       | Type/category of privilege/affiliation (activ  | ve, courtesy, etc.):   |                                |
| Admitting Privileges:      | Department Name:                               |  |                                |
| ∐ Yes                      | Department Chairperson:                        |  |                                |
| Application Pending        | Address  | City/State/Country   | 7:- Code                       |
|                            | Street  Phone Number: ( ) -                    | Fax Number: () -   | Zip Code                       |
|                            | Thome Number. (                                | rax rambon. <u>\</u>   | If hospital changed name, list |
| From//                     | Facility Name:                                 |  | current name and address       |
| To//                       | Type/category of privilege/affiliation (activ  | ve, courtesy, etc.):   |                                |
| Admitting Privileges:      | Department Name:                               |  |                                |
| ∐ Yes                      | Department Chairperson:                        |  |                                |
| Application Pending        | Address  | City/State/Country   | 7in Codo                       |
|                            |  | Fax Number: ( ) -  | Zip Code                       |
| Chook hara if you have     | additional hospital affiliations on attached h |  |                                |

| Specialty/Subspecia  | Ity Certification  |   |   |  |                               |
|--|--|---|---|--|-------------------------------|
| Certifying Board   | Specialty/Subspecialty   | Date Certified                                      | Date Recertified                                  | Expiration Date  | Cert. Pending                 |
|  |  |   | _ / _ /   | _ / /  |                               |
|  |  |   | _ / _ /   | _ / /  |                               |
|  |  |   | _ / _ /   | _ / /  |                               |
|  |  |   | _ / /   | _ / _ /  |                               |
|  | e your intent for certification and despral exams, if any.                   |   |   |  | date of exam,                 |
| Licensure - List all past  | c, current and pending professional  | icenses.  |   |  |                               |
| State License No.  |  |   | expiration Date                                   | License Status ☐Active ☐Inactiv                            | e  Pending                    |
|  |  |   | / /   | ☐Active ☐Inactiv   | e Pending                     |
|  |  | <u>/ /</u>  | / /   | ☐Active ☐Inactiv   | e  Pending                    |
| Drug Enforcement A   | dministration Registration   |   |   |  |                               |
| NOTE: Address on DEA tenens coverage)  | certificate must be in state wher  | e you will be practici                              | ng as applicable to t                             | his application (exce                                      | pt for locum                  |
| DEA Number:  |  | State:  |   | Expiration Date:/_   | /                             |
| Approved for all sche  | dules? ☐Yes ☐ No, please expla   | ain   |   |  |                               |
| DEA Number:  |  | State:  |   | Expiration Date:/_   | /                             |
| Approved for all sche  | dules? ☐Yes ☐ No, please expla   | ain   |   |  |                               |
| If you do not maintain a DI  | EA certificate, please explain:  |   |   |  |                               |
| ☐ Not applicab   | le to practice  DEA certificate pe   | ending; date application                            | n submitted to DEA: _                             | / / (Attach copy   | of application)               |
| Other  |  |   |   |  |                               |
| <u></u>  |  |   |   |  |                               |
| State Controlled Sul   | ostance Certification/Regis  | tration (If applicable                              | - not applicable to AZ                            | Z, FL, MN, WI).  |                               |
| Issued By:   | Numbe  | r:  |   | Expiration Date:/  | /                             |
| Liability Insurance -  | Insurance Carrier for Primary and F  | Pending Practice Loca                               | tion  |  |                               |
| Enclose a copy of profess include effective dates, insattach a separate sheet. | ional liability insurance coverage (e. surance carrier, expiration date, cov | .g., face sheet/verificat<br>erage limits, and name | cion of self-insurance)<br>e of each provider cov | for <b>primary practice I</b><br>ered. If additional space | ocation to<br>be is required, |
| Coverage dates:  |  |   |   |  |                               |
| Start//  | Insurance Carrier Name:  |   |   |  |                               |
| Expire//   | Address  |   |   |  |                               |
| Certificate Pending  | Name in which policy issued: _   | Street  | City/State/C                                      |  | Code                          |
|  | Policy number:   |   |   |  |                               |
|  | Amount of coverage (per occur  | rence/aggregate):                                   |   |  |                               |

#### **Professional/Peer References**

List three (3) professional peers who have personal knowledge of your **current (within the past 12 months)** clinical skills, abilities, judgment, professional performance, and clinical competence or have been responsible for professional observation of your work. A *peer* is defined as an individual in the same professional discipline with essentially equal qualifications (MD and DO are considered equivalent; DDS/DMD for DDS/DMD; DPM for DPM; PhD for PhD, etc.) Limit to one **(1) current office associate. Do not include your residency director, fellowship director, relatives, or pending partners.** At least one reference should be in your specialty (and if possible from the same subspecialty). Provide current and complete addresses. References will be evaluated according to the extent of their direct clinical observation of your work and other knowledge of you.

| Name:   | Title:                              | Title:   |  |  |  |
|---|-------------------------------------|----------|--|--|--|
| Facility Name:  |                                     |          |  |  |  |
| Address:  | 01.01.10                            |          |  |  |  |
| Street  | City/State/Country                  | Zip Code |  |  |  |
| Phone Number: ( ) -                                   | Fax Number: ( ) -                   |          |  |  |  |
| E-Mail Address:                                       |                                     |          |  |  |  |
| Name:   | Title:                              |          |  |  |  |
| Facility Name:  |                                     |          |  |  |  |
| Address:Street  | City/State/Country                  | Zip Code |  |  |  |
|   | Fax Number: ( ) -                   | ·        |  |  |  |
| E-Mail Address:                                       |                                     |          |  |  |  |
| Name:   | Title:                              |          |  |  |  |
| Facility Name:  |                                     |          |  |  |  |
| Address:Street  |                                     | Zip Code |  |  |  |
| Phone Number: (                                       | Fax Number: (                       | _        |  |  |  |
| E-Mail Address:                                       |                                     |          |  |  |  |
|   |                                     |          |  |  |  |
| Life Support Certification                            |                                     |          |  |  |  |
| Do you have any current life support certifications ( | BLS, CPR, ACLS, ATLS, etc.)? Yes No |          |  |  |  |
| If Yes: Type of Certification                         | Expiration Date(s)                  |          |  |  |  |
|   |                                     |          |  |  |  |
|   |                                     |          |  |  |  |
|   |                                     |          |  |  |  |
|   |                                     |          |  |  |  |

### **Disclosure Questions for Initial Credentialing**

|     | se providessary. | e a comp | lete explanation if any of the following questions are answered in the affirmative. Use a separate sheet to continue, if  |
|-----|------------------|----------|---|
| 1.  | ☐ Yes            | □No      | Has your <b>professional license or registration</b> ever been terminated, stipulated, restricted, limited, conditioned, suspended, revoked, refused, voluntarily relinquished or not renewed by any licensing board or any health-related agency organization, or is there a review pending?   |
| 2.  | ☐ Yes            | □No      | Has your <b>professional license or registration</b> ever been investigated or is it currently being investigated and, if so, what were the results?  |
| 3.  | ☐ Yes            | □No      | Has your <b>DEA registration</b> ever been revoked, suspended, limited, or conditioned in any way, or have you voluntarily relinquished your DEA registration, or is there a review pending?  |
| 4.  | ☐ Yes            | □ No     | Has your <b>membership</b> , <b>participation</b> , <b>clinical privileges</b> , <b>or employment</b> ever been denied, terminated, stipulated, restricted, refused, limited, suspended, revoked, or not renewed by any peer review organization, third party payer, clinic, hospital, medical staff, or any health-related agency or organization, or is there a review pending? |
| 5.  | ☐ Yes            | □No      | Have you ever voluntarily relinquished your <b>membership</b> , <b>participation</b> , <b>clinical privileges</b> or request for privileges, employment, professional license, or registration in lieu of disciplinary action, or prior to or during an investigation into your professional conduct or competency?   |
| 6.  | ☐ Yes            | □No      | Have you ever involuntarily relinquished your <b>membership, participation, clinical privileges</b> or request for privileges, employment, professional license or registration?  |
| 7.  | ☐ Yes            | □No      | Has your <b>membership or fellowship</b> in any professional organization or your specialty <b>board certification</b> ever beer voluntarily or involuntarily denied, terminated, restricted, limited, suspended or revoked?  |
| 8.  | ☐ Yes            | □ No     | Have you ever been reprimanded, censored, or otherwise disciplined by, or have you ever been subject to a corrective action agreement/plan with any licensing board, peer review organization, third party payer, clinic, hospital, medical staff, or any health-related agency or organization?  |
| 9.  | ☐ Yes            | □ No     | Has your certificate or participation in any <b>private</b> , <b>federal</b> (i.e. <b>Medicare</b> , <b>Medicaid</b> , <b>etc.</b> ) or <b>state health insurance program</b> ever been revoked or otherwise limited or restricted, or is any investigation or proceeding with respect to any such action presently underway?   |
| 10. | ☐ Yes            | □No      | Are there any <b>charges pending or are you currently charged</b> with or have you ever been indicted or found guilty of a felony, gross misdemeanor, misdemeanor (other than a minor traffic violation), or other offense?   |
|     |                  |          |   |

| Have you ever had any professional liability claims or lawsuits brought against you, including pending claims or lawsuits, settlements or final judgements? If yes, please complete the enclosed Malpractice Litigation and Professional Complaints Addendum. You may be asked for additional information by individual organizations.    13   | 11.   | ☐ Yes ☐ No        | Have you ever been found liable, guilty or responsible for <b>sexual impropriety</b> or misconduct or sexual harassment \ with a patient, co-worker, or other?   |
|--|-------|-------------------|--|
| specific privileges within your specialty?    14.  | 12.   | ☐ Yes ☐ No        | lawsuits, dismissed or dropped claims or lawsuits, settlements or final judgements? If yes, please complete the enclosed Malpractice Litigation and Professional Complaints Addendum. You may be asked for additional  |
| Do you have a physical or mental condition that would affect your ability, with or without reasonable accommodation, to provide appropriate care to patients and otherwise perform the essential functions of a practitioner in your area of practice without posing a health or safety risk to your patients? If yes, what accommodations would help you provide appropriate care to patients and perform other essential functions?    No  | 13    | ☐ Yes ☐ No        |  |
| accommodation, to provide appropriate care to patients and otherwise perform the essential functions of a practitioner in your area of practice without posing a health for safety risk to your patients? If yes, what accommodations would help you provide appropriate care to patients and perform other essential functions?    16.  | 14.   | ☐ Yes ☐ No        | Have you ever practiced within your profession without professional liability insurance?   |
| accommodation, to provide appropriate care to patients and otherwise perform the essential functions in your area of practice without posing a health risk to your patients? If yes, what accommodations would help you provide appropriate care to patients and perform other essential functions?    17.   | 15.   | ☐ Yes ☐ No        | accommodation, to provide appropriate care to patients and otherwise perform the essential functions of a practitioner in your area of practice without posing a health or safety risk to your patients? If yes, what accommodations would   |
| drugs may have an ongoing impact on one's ability to practice medicine. "Illegal use of drugs" refers to drugs whose possession or distribution is unlawful under the Controlled Substances Act, 21 U.S.C. sec. 812.22. It "does not include the use of a drug taken under supervision by a licensed health care professional, or other uses authorized by the Controlled Substances Act or other provision of Federal law." The term does include, however, the unlawful use of prescription controlled substances.)  **Notice of Applicant's Rights**  You may review your application and information from publicly available documents at any time during the verification process. This does not include documents protected by hospital policy and/or applicable Minnesota state laws. If there are discrepancies in the information received during the process, you will be notified and allowed an opportunity to add information to your application.  **Attestation Signature and Date**  I hereby certify that all the information on this application form is complete, true and accurate. I further agree to update this information as necessary so that it remains complete, true and accurate while my application is being processed.  Signature | 16.   | ☐ Yes ☐ No        | accommodation, to provide appropriate care to patients and otherwise perform the essential functions in your area of practice without posing a health risk to your patients? If yes, what accommodations would help you provide  |
| You may review your application and information from publicly available documents at any time during the verification process. This does not include documents protected by hospital policy and/or applicable Minnesota state laws. If there are discrepancies in the information received during the process, you will be notified and allowed an opportunity to add information to your application.  **Attestation Signature and Date**  I hereby certify that all the information on this application form is complete, true and accurate. I further agree to update this information as necessary so that it remains complete, true and accurate while my application is being processed.  Signature Date:/   | 17.   | ☐ Yes ☐ No        | drugs may have an ongoing impact on one's ability to practice medicine. "Illegal use of drugs" refers to drugs whose possession or distribution is unlawful under the Controlled Substances Act, 21 U.S.C. sec. 812.22. It "does not include the use of a drug taken under supervision by a licensed health care professional, or other uses authorized by the Controlled Substances Act or other provision of Federal law." The term does include, however, the unlawful use of |
| I hereby certify that all the information on this application form is complete, true and accurate. I further agree to update this information as necessary so that it remains complete, true and accurate while my application is being processed.  Signature Date:/_/   | inclu | ide documents pro | pplication and information from publicly available documents at any time during the verification process. This does not tected by hospital policy and/or applicable Minnesota state laws. If there are discrepancies in the information received   |
| information as necessary so that it remains complete, true and accurate while my application is being processed.  Signature Date:/_/   |       |                   | Attestation Signature and Date   |
| Nama   |       |                   |  |
| Name(please print or type)   |       | Signature         | Date:/_/   |
|  |       | Name              | (please print or type)   |

## Application Attestation Update

## The signature blocks below are to be signed ONLY if a previous completed application is being reviewed and updated.

#### **Application Attestation Update**

The application was designed so that a practitioner need complete it in its entirety only once. If application is then made to another organization which accepts this Initial Credentialing Application and it has been more than 60 days since the practitioner completed or updated the application, the practitioner may do the following:

- · Review the application
- · Make any needed modification
- · Sign one of the attestation blocks below, reconfirming that the application is complete, true and accurate.

Please note: It is particularly important that the Disclosure Questions be reviewed and any changes made with appropriate documentation included.

| Updat | e Attestation Signature and D  | ate                                      |   |  |  |  |
|-------|--|--|---|--|--|--|
|       | I have reviewed and updated all of the information on this application, including the Disclosure Questions, and I certify it is c true and accurate. |  |   |  |  |  |
|       | Signature  |  | Date/_/   |  |  |  |
| Updat | e Attestation Signature and D  | Pate                                     |   |  |  |  |
|       | I have reviewed and updated all of the true and accurate.  | ne information on this application, inc  | uding the Disclosure Questions, and I certify it is complete, |  |  |  |
|       | Signature  |  | Date/_/   |  |  |  |
| Updat | e Attestation Signature and D  | ate                                      |   |  |  |  |
|       | I have reviewed and updated all of the true and accurate.  | ne information on this application, incl | uding the Disclosure Questions, and I certify it is complete, |  |  |  |
|       | Signature  |  | Date/_/   |  |  |  |

## Authorization and Release (Please read carefully before signing)

| Nam            | ne (please print or type)  |  |  |  |  |
|----------------|--|--|--|--|--|
| Sigr           | nature Date/_/   |  |  |  |  |
|                | ther acknowledge that I have read and understand the foregoing Authorization and Release. A photocopy of this Authorization and Release I be as effective as the original.   |  |  |  |  |
| miss           | All information provided by me in the Application is true to the best of my knowledge and belief. I understand and agree that any material misstatement in or omission from the Application may constitute grounds for denial or revocation of Participation. I understand and acknowledge that the Entity shall be solely responsible for all decisions concerning the granting of Participation.   |  |  |  |  |
|                | I acknowledge that the investigation of information in this Application and the release and exchange of Disciplinary Information by the Entity and its Agents are done to achieve, maintain and improve quality patient care.  |  |  |  |  |
| Entit          | derstand and agree that this Authorization and Release is irrevocable for any period during which I am an applicant for Participation at the ty, or I am a member of Entity's medical or health care staff, or a participating provider of the Entity. I agree to execute another consent if or regulation limits the application of this irrevocable authorization. Failure to promptly provide another consent may be grounds for innation or discipline of the Participant by the Entity in accordance with the applicable bylaws, rules and regulations, and requirements of the ty.   |  |  |  |  |
| I und          | derstand that communication regarding my application may occur via email.  |  |  |  |  |
|                | Release from Liability. I hereby further release from liability the Entity and its Agents, state licensing board(s), health care organizations, including, without limitation, hospitals, clinics, and third party payers, medical malpractice insurance carrier(s), and any staff, and all individuals, institutions and entities providing information in accordance with this authorization, for their acts performed in good faith and without malice in connection with the gathering and release and exchange of information as consented to above. This release shall be in addition to any other applicable immunities provided by law for peer review activities.   |  |  |  |  |
| 2.             | Authorization of Release and Exchange of Disciplinary Information. I hereby further authorize any health care organization at which I have applied for, currently have or had Participation or employment to release Disciplinary Information about any disciplinary action taken against me to the Entity and/or its Agents, including, without limitation, the CVO, and as otherwise may be required by law. I hereby further authorize the CVO to release Disciplinary Information about any disciplinary action taken against me to its participating entities at which I have Participation, and as otherwise may be required by law. As used herein, Disciplinary Information means information concerning (i) any action taken by such health care organizations, their administrators or their medical or other committees to revoke, deny, suspend, restrict or condition my Participation or impose a corrective action plan; (ii) any other disciplinary actions involving me including but not limited to discipline in the employment context; or (iii) my resignation prior to the conclusion of any disciplinary proceedings or prior to the commencement of formal charges but after I have knowledge that such formal charges are contemplated and/or in preparation. |  |  |  |  |
| 1.             | Authorization of Investigation and Release of Information Concerning Application for Participation. I authorize the Entity and its Agents to consult with any third party who may have information bearing on my professional qualifications, credentials, clinical competence, character, mental condition, physical condition, alcohol or chemical dependency diagnosis and treatment, ethics, behavior, or any other matter reasonably having a bearing on my qualifications for Participation and authorize such third parties to release such information to the Entity and its Agents.   |  |  |  |  |
| limit<br>the i | ther understand and acknowledge that the Entity, its designated agent(s) and/or other authorized representatives, including, without ation, the Entity's designated professional credentials verification organization (CVO), collectively referred to as "Agents", will investigate information in this Application. By submitting this Application, I agree to such investigation and to the disciplinary reporting and information mange activities of the Entity and its Agents as follows:  |  |  |  |  |
|                | ther acknowledge that I am responsible for knowing the contents of the applicable bylaws, rules and regulations, and requirements of the ty and its professional/medical staff/network, and agree to be bound by them in the application process and if granted Participation.   |  |  |  |  |
| "Par<br>resp   | derstand and acknowledge that, as an applicant for membership, participation and/or clinical privileges (hereinafter, referred to as ticipation") athereafter referred to as Entity), it is my ionsibility to provide sufficient information upon which a proper evaluation can be undertaken of my current licensure, relevant training for experience, current competence, health status, character, ethics and any other criteria adopted by the Entity for Participation.  |  |  |  |  |
|                |  |  |  |  |  |

## Application Addendum To Initial and Reappointment Applications

**Medicare/Medicaid and Other Government Reimbursement Programs Penalty Statement:** This statement is required by Medicare/Medicaid and other government reimbursement programs.

Penalty statement according to the Federal Register dated August 31, 1984 and effective October 1, 1984. "NOTICE TO ALL PRACTITIONERS RECEIVING MEDICARE/MEDICAID AND OTHER GOVERNMENT **REIMBURSEMENT PROGRAM PAYMENTS"** Medicare payment to hospitals is based in part on each patient's principal and secondary diagnoses and the major procedures performed on the patient as attested to by the patient's attending physician by virtue of his or her signature on the medical record. Anyone who misrepresents, falsifies, or conceals essential information required for payment of federal funds, may be subject to fine, imprisonment, or civil penalty under applicable federal laws. Signature:\_\_\_ \_\_\_\_\_\_ Date: <u>/ /</u>\_\_\_ (please print or type) **Continuing Education Attestation** Please read the following attestation carefully before signing and dating the statement. I hereby certify that I have a sufficient number of CE credits to meet the licensure requirements and attest that an appropriate percentage relate to my specialty. I understand that these credits may be audited by an individual facility based on their individual requirements. Signature:\_\_\_\_\_ Date: \_ / \_/\_\_ (please print or type) Signature/DEA Verification Pharmacies are required to maintain signatures and DEA numbers on file for all practitioners who prescribe. Date: / / DEA Number: \_\_\_\_\_ (please print or type) Specialty: Office Address: Phone Number: ( ) -

## Malpractice Litigation and Professional Complaints Addendum

Confidential Information

If you answered yes to disclosure question #12 on Current Disclosure question page, please complete the following form. For each lawsuit or complaint, please furnish the following and attach a copy of the complaint including your response to the complaint and level of participation. If is your responsibility to provide external verification (i.e., statement from an attorney, court records, etc.) of your response. You may choose to have your attorney complete this form. Please make additional copies of this form if needed.

| Month/Year of incident <u>/</u>         | <del>_</del>           | Reported to National Pr        | actitioner [   | Databank (NPD)     | B): ∐ Yes ∐ No             |
|---|------------------------|--------------------------------|----------------|--------------------|----------------------------|
| Where incident occurred:                | Facility Name          |                                |                |                    |                            |
| Address:                                |                        | City:                          | Sta            | te:                | Zip:                       |
| Describe the nature of incid            | ent (Complaint,        | , Allegation) - Do No          | t Include      | <b>Patient Nan</b> | ne or Identifiers          |
|   |                        |                                |                |                    |                            |
|   |                        |                                |                |                    |                            |
|   |                        |                                |                |                    |                            |
|   |                        |                                |                |                    |                            |
|   |                        |                                |                |                    |                            |
|   |                        |                                |                |                    |                            |
|   |                        |                                |                |                    |                            |
| Provide a narrative descript            | ion of vour part       | ticipation/level of ca         | re             |                    |                            |
|   |                        |                                | _              |                    |                            |
|   |                        |                                |                |                    |                            |
|   |                        |                                |                |                    |                            |
|   |                        |                                |                |                    |                            |
|   |                        |                                |                |                    |                            |
|   |                        |                                |                |                    |                            |
|   |                        |                                |                |                    |                            |
| Outcome of incident                     |                        |                                |                |                    |                            |
| CONCLUDED WITH NO PAYMENTS              | 9                      | CONG                           | STIDED WI      | TH PAYMENTS        |                            |
| ☐ Dropped/Closed                        | <u>o</u><br>Date: / /  | ☐ Verdict for p                |                | Date: / /          | Amount \$                  |
| ☐ Verdict for you                       | Date: / /              | ☐ Settled                      |                | Date: / /          | Amount \$                  |
| ☐ Dismissed with prejudice*?            | Date: / /              | PENDING                        |                |                    |                            |
| ☐ Dismissed without prejudice**?        | Date: / /              | ☐ Date of filing               | j              | Date: <u>/ /</u>   | _                          |
| *Dismissed with prejudice – set aside t | he law suit and deny   | the right to file another suit | on that same   | claim              |                            |
| **Dismissed without prejudice – set asi | de the law suit but le | ave open the possibility of a  | notner suit oi | n the same claim   |                            |
| Represented by Legal Counsel for        | this claim/malpra      | ctice lawsuit?                 | ☐ No If        | yes, give the na   | me and address of counsel. |
| lame:                                   |                        |                                |                |                    |                            |
| Address:                                |                        |                                |                |                    |                            |
| Phone Number: ( <u>)</u>                |                        |                                |                |                    |                            |
| nsurance company that provided          | coverage for this      | claim:                         |                |                    |                            |
| Name:                                   |                        |                                |                |                    |                            |
| Address:                                |                        |                                |                |                    |                            |
| Phone Number: ( ) -                     |                        |                                |                |                    |                            |
| ax Number: ( ) -                        |                        |                                |                |                    |                            |
|   |                        |                                |                |                    |                            |
| Signature                               |                        |                                | Date           | / /                |                            |
| Print Name                              |                        |                                | Phone          | Number ( )         | _                          |

## Chronological Employment/Practice History Addendum (Please make as many extra copies as necessary)

| (Month, day and year requir | ed)   |                    |                               |   |
|-----------------------------|---|--------------------|-------------------------------|---|
| From: / /                   | Organization Name/Activity:                         |                    |                               |   |
| To: / /                     | Reason for Leaving:                                 |                    |                               |   |
|                             | Employment Contact Name:                            |                    | Clinic Still Open? ☐ Yes ☐ No | If no, attach sheet listing address and phone number of someone who can verify your time there. |
|                             | Address:  |                    |                               |   |
|                             | Street  | City/State/Country |                               | Zip Code  |
|                             | Phone Number: ( ) -                                 | Fax Number: (_     | ) -                           |   |
| From:/_/                    | Organization Name/Activity:                         |                    |                               |   |
| To: / /                     | Reason for Leaving:                                 |                    |                               |   |
|                             | Employment Contact Name:                            |                    | Clinic Still Open? ☐ Yes ☐ No | If no, attach sheet listing address and phone number of someone who can verify your time there. |
|                             | Address:  |                    |                               |   |
|                             | Street  | City/State/Country |                               | Zip Code  |
|                             | Phone Number: ( ) -                                 | Fax Number: (_     | ) -                           |   |
| From:/_/                    | Organization Name/Activity:                         |                    |                               |   |
| To: / /                     | Reason for Leaving:                                 |                    |                               |   |
|                             | Employment Contact Name:                            |                    | Clinic Still Open? ☐ Yes ☐ No | If no, attach sheet listing address and phone number of someone who can verify your time there. |
|                             | Address:  | City/State/Country |                               | Zip Code  |
|                             | Phone Number: () -                                  | Fax Number: (_     | ) -                           |   |
| From:/_/                    | Organization Name/Activity:                         |                    |                               |   |
| To: / /                     | Reason for Leaving:                                 |                    |                               |   |
|                             | Employment Contact Name:                            |                    | Clinic Still Open? ☐ Yes ☐ No | If no, attach sheet listing address and phone number of someone who can verify your time there. |
|                             | Address:  |                    | ,                             |   |
|                             | Street  | City/State/Country |                               | Zip Code  |
|                             | Phone Number: ( ) -                                 | Fax Number: (_     | ) -                           |   |
| Explain time gaps/interrup  | otions of <u>greater than three (3) months</u> in m | edical/professiona | Il practice                   |   |
| From//Explain :             |   |                    |                               |   |
| To/                         |   |                    |                               |   |
| From//Explain :             |   |                    |                               |   |
| To//                        |   |                    |                               |   |

## Hospital Affiliation Addendum (Please make as many extra copies as necessary)

| (Month, day and year req      | uired)   |   |   |  |
|-------------------------------|--|---|---|--|
| From: / /                     | Facility Name:   | If hospital changed name, list current name and address |   |  |
| To:/                          | Type/category of privilege/affiliation (a                        | active, courtesy, etc.):                                |   |  |
| Admitting Privileges:         | Department Name:   |   |   |  |
| Yes No                        | Department Chairperson:  |   |   |  |
| ☐ Application Pending         | Address:Street   | City/State/Country                                      | Zip Code  |  |
|                               | Phone Number: ( ) -  | Fax Number: ()  |   |  |
| From: / /                     | Facility Name  |   | If hospital changed name, list current name and address |  |
| From: / /                     |  | active countered at a h                                 |   |  |
| To: //                        | Type/category of privilege/affiliation (active, courtesy, etc.): |   |   |  |
| Admitting Privileges:  Yes No |  |   |   |  |
|                               |  |   |   |  |
| ☐ Application Pending         | Address:   | City/State/Country                                      | Zip Code  |  |
|                               | Phone Number: ( ) -  | Fax Number: ( ) -                                       |   |  |
|                               |  |   |   |  |
| From: / /                     | Facility Name:   |   | If hospital changed name, list current name and address |  |
| To: / /                       | Type/category of privilege/affiliation (a                        | active, courtesy, etc.):                                |   |  |
| Admitting Privileges:         |  |   |   |  |
|                               | •  |   |   |  |
| ☐ Application Pending         | Allera   |   |   |  |
| ☐ Application Fending         | Street   | City/State/Country                                      | Zip Code  |  |
|                               | Phone Number: ( ) -  | Fax Number: ( <u>)</u> -                                |   |  |
|                               |  |   | If hospital changed name, list                          |  |
| From: / /                     | Facility Name:   |   | current name and address                                |  |
| To: //                        | Type/category of privilege/affiliation (active, courtesy, etc.): |   |   |  |
| Admitting Privileges:  Yes No | Department Name:   |   |   |  |
|                               | Department Chairperson:  |   |   |  |
| ☐ Application Pending         | Address:   |   |   |  |
|                               | Street   | City/State/Country                                      | Zip Code  |  |
|                               | Phone Number: ( ) -  | Fax Number: ( ) -                                       |   |  |

### **IMMUNE STATUS INFORMATION**

| Please pro    | vide immunity status history by co   | mpleting the questions below. R           | eturn this sheet with your Application.                     |
|---------------|--|---|---|
|               |  |   |   |
| Name (Ple     | ase type or print)   | Signature                                 |   |
| Check Ap      | propriate Boxes.   |   |   |
|               | EASLES (RUBEOLA) IMMUNICUMEntation of immunity to measure and the second |   | e following:  |
|               | months of age received after 196   | 57.                                       | , mumps, rubella (MMR) vaccine since 12                     |
|               | last year.  □ Positive serology indicating   |   | mumps, rubella (MMR) vaccine within the LOSE DOCUMENTATION. |
|               | ☐ Immunity status unknown.   |   |   |
|               | UBELLA IMMUNITY:   |   |   |
| Do            | ocumentation of immunity to rubel  At least one dose of measles  |   |   |
|               |  | mmunity to rubella - <b>ENCLOSI</b>       |   |
|               | lities require evidence of immunity opropriate entity to determine their   |   | anting membership/participation. Check                      |
| 3. <b>M</b>   | UMPS IMMUNITY:   |   |   |
|               | ocumentation of immunity to mum  | os as defined as <u>one</u> of the follow | ving:   |
|               | ☐ Date of birth <u>before</u> 1/1/57.  | mumps suballa (MMD) or mum                | nns vaaaina   |
|               | <ul><li>☐ At least one dose of measles.</li><li>☐ Positive serology indicating</li></ul>   |   | ips vaccine.  |
|               | ☐ Immunity status unknown.   |   |   |
| 4. <b>V</b>   | ARICELLA (CHICKEN POX):  |   |   |
|               | nmunity to Varicella (chicken pox)   |   | g:  |
|               | ☐ History of chicken pox or sh   |   |   |
|               | <ul><li>☐ Others residing in the same h</li><li>☐ Blood test (titer) indicating in</li></ul>   |   |   |
|               | ☐ Immunity status unknown.   | initiality to enterior point              |   |
| 5. <b>H</b> ] | EPATITIS B IMMUNITY:   |   |   |
| Do            | ocumentation of immunity to Hepa   |   | ollowing:   |
|               | <ul><li>☐ Completion of Hepatitis B va</li><li>☐ Positive serology for hepatiti</li></ul>  | ccine series; year of series:             | mmunity to Hanatitis R                                      |
|               | ☐ Immunity status unknown.   | s b surface andbody indicating i          | minumity to Hepatius B.                                     |
|               | **************************************   | **********                                | ********  |
|               | UBERCULOSIS STATUS: ocumentation for Tuberculosis Stat   | us is defined by one of the follow        | ving:   |
| <b>D</b> (    | ☐ Have had the disease, date: _  | / / treatment/follow-up:                  |   |
|               | ☐ Have a positive TB skin test;  | date: // treatment/fo                     | llow-up:  |
|               | ☐ Had BCG vaccine; date:/<br>*DATE OF LAST PPD   |   | Results:  |